

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Erik J. SHAHOIAN

Serial No.: Unassigned

Examiner: TBD

Confirmation No.: Unassigned

Art Unit: TBD

Filed: Herewith

For: **HAPTIC INTERFACE DEVICE AND ACTUATOR ASSEMBLY PROVIDING
LINEAR HAPTIC SENSATIONS**

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☒ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/585,741 to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).

- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication _____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☒ Enclosed is a copy of pending patent Application Serial Nos. 09/965,097 and 09/798,498 (U.S. Patent Publication No. US 2001/0010513 A1).

This Information Disclosure Statement is filed within any one of the following time periods:

- ☒ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☐ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: February 23, 2004

Cooley Godward LLP
 ATTN: Patent Group
 One Freedom Square
 Reston Town Center
 11951 Freedom Drive
 Reston, VA 20190-5656
 Tel: (703) 456-8000
 Fax: (703) 456-8100

Respectfully submitted,
COOLEY GODWARD LLP

By:



 Erik B. Milch
 Reg. No. 42,887

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	February 23, 2004
				First Named Inventor	Erik J. SHAHOIAN
				Group Art Unit	
				Examiner Name	
Sheet	1	of	5	Attorney Docket Number	IMMR-060/04US
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
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	A2	6,275,213		Tremblay et al.	08/14/2001
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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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Sheet	2	of	5	Attorney Docket Number	IMMR-060/04US

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	A95	4,513,235		Acklam et al.	04/23/1985
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	A106	3,220,121		Cutler	11/30/1965
	A107	3,157,853		Hirsch	11/17/1964

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁴
		Office ¹	Number ²	Kind Code ³ (if known)			
	B1		WO 00/03319			01/20/2000	
	B2		WO 98/32112			07/23/1998	
	B3		WO-97/31333			08/28/1997	
	B4		WO 95/20788			08/03/1995	
	B5		0 626 634	A2		11/30/1994	
	B6		0 607 580	A1		07/27/1994	
	B7		H5-86387			11/22/1993	
	B8		WO 92/00559			01/09/1992	
	B9		0 349 086	A1	Stork Kwant B.V.	01/03/1990	
	B10		0 265 011	A1		04/27/1988	
	B11		S62-194389			12/10/1987	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Baigrie, "Electric Control Loading – A Low Cost, High Performance Alternative," <i>Proceedings of Interservice/Industry Training Systems Conference</i> , pp. 247-254, November 6-8, 1990	
	C2	Iwata, "Pen-based Haptic Virtual Environment," 0-7803-1363-1/93 IEEE, pp. 287-292, 1993	
	C3	Russo, "The Design and Implementation of a Three Degree of Freedom Force Output Joystick," <i>MIT Libraries Archives</i> pp. 1-131, May 1990, archived 8/14/90	

¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁴ Applicant is to place a check mark here if English language Translation is attached.

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
	C4	Brooks et al., "Hand Controllers for Teleoperation - A State-of-the-Art Technology Survey and Evaluation," <i>JPL Publication 85-11</i> , NASA-CR-175890; N85-28559, pp. 1-84, 03/1/1985			
	C5	Jones et al., "A perceptual analysis of stiffness," ISSN 0014-4819 Springer International (Springer-Verlag); <i>Experimental Brain Research</i> , Vol. 79, No. 1, pp. 150-156, 1990			
	C6	Burdea et al., "Distributed Virtual Force Feedback, Lecture Notes for Workshop on Force Display in Virtual Environments and its Application to Robotic Teleoperation," 1993 <i>IEEE International Conference on Robotics and Automation</i> , pp. 25-44, 05/02/1993			
	C7	Snow et al., "Model-X Force-Reflecting-Hand-Controller," NT Control No. NPO-17851; JPL Case No. 7348, pp. 1-4 with 45 pages of attachments, 06/15/1989			
	C8	Ouh-Young, "Force Display in Molecular Docking," Doctoral Dissertation, University of North Carolina at Chapel Hill, UMI Order No. 9034744, p. 1-369, 1990			
	C9	Tadros, "Control System Design for a Three Degree of Freedom Virtual Environment Simulator Using Motor/Brake Pair Actuators," <i>MIT Archive</i> , pp. 1-88, February 1990, archived 8/13/90			
	C10	Caldwell et al., "Enhanced Tactile Feedback (Tele-Taction) Using a Multi-Functional Sensory System," 1050-4729/93, pp. 955-960, 1993			
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	C17	Adelstein, "A Virtual Environment System For The Study of Human Arm Tremor," <i>Ph.D. Dissertation</i> , Dept. of Mechanical Engineering, MIT, June 1989, archived 3/13/90			
	C18	Bejczy, "Sensors, Controls, and Man-Machine Interface for Advanced Teleoperation," <i>Science</i> , Vol. 208, No. 4450, pp. 1327-1335, 1980			
	C19	Bejczy et al., "Generalization of Bilateral Force-Reflecting Control of Manipulators," <i>Proceedings Of Fourth CISM-IFTOMM</i> , Sep. 8-12, 1981			
	C20	McAfee et al., "Teleoperator Subsystem/Telerobot Demonstrator: Force Reflecting Hand Controller Equipment Manual," <i>JPL 1988</i> , JPL D-5172			
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	C24	IBM Technical Disclosure Bulletin, "Mouse Ball-Actuating Device With Force and Tactile Feedback," Vol. 32, No. 9B, February 1990			
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	C27	Eberhardt et al., "OMAR - A Haptic display for speech perception by deaf and deaf-blind individuals," <i>IEEE Virtual Reality Annual International Symposium</i> , Seattle, WA, Sep. 18-22, 1993			

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	C43	Hasser, C., "Tactile Feedback With Adaptive Controller for a Force-Reflecting Haptic Display - Part 1: Design," IEEE 0-7803-3131, Jan. 1996	
	C44	Dennerlein, Jack T. et al., "Vibrotactile Feedback for Industrial Telemanipulators," 6 th Ann. Symposium on Haptic Interfaces for Virtual Environment and Teleoperator Systems, ASME IMECE, Nov. 1997	
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	C46	Kelley, A.J. et al., "MagicMouse: Tactile and Kinesthetic Feedback in the Human-Computer Interface Using an Electromagnetically Actuated Input/Output Device," Dept. of Elec. Eng. Univ. of British Columbia, Oct. 1993, pp. 1-27	
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